

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No. 0500.0008171 Total Pages 38
First Inventor Ron J. Vandergeest
Title Method and Apparatus for Providing User
Authentication
Express Mail Label No. EL504284465US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent
application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages 30
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

3. ☒ Drawings (35 USC 113) Total Sheets 4

4. Oath or Declaration Total Pages 2

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application
(37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above
copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of
Statement (IDS)/PTO-1449 IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Small Entity ☐ Statement filed in Prior
Statement(s) Application, Status still
proper and desired.
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☒ Other Request and Certification
under 35 USC 122b2B1

5. ☐ Microfiche Computer Program (Appendix)

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:
Prior Application Information: Examiner Group / Art Unit:

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or, ☐ Correspondence Address Below



24228

PATENT TRADEMARK OFFICE

Name (Print/Type)	Christopher J. Reckamp	REGISTRATION NUMBER	34,414
Signature		Date	Dec. 22, 2000

FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,128.00

Complete if Known

Application Number	
Filing Date	Dec. 22, 2000
First Named Inventor	Ron J. Vandergeest
Group Art Unit	
Examiner Name	
Attorney Docket Number	0500.0008171

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	
Deposit Account Name	

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	760 201 380	Utility filing fee	
106	310 206 155	Design filing fee	
107	480 207 240	Plant filing fee	
108	760 208 380	Reissue filing fee	
114	150 214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 690.00

2. CLAIMS

Claims	Extra	Fee from below	Fee Paid
Total 31	(.20 =) 11	18	198
Indep. 6	(.3 =) 3	80	240
Multiple Dep.			

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103	18 203 9	Claims in excess of 20
102	80 202 39	Independent claims in excess of 3
104	260 204 130	Multiple dependent claim
109	78 209 39	Reissue independent claims over original patent
110	18 210 9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 438.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	130 205 65	Surcharge - late filing fee or oath	
127	50 227 25	Surcharge - late provisional filing fee or cover sheet	
139	130 139 130	Non-English specification	
147	2,520 147 2,520	For filing a request for reexamination	
112	920* 112 920*	Requesting publication of SIR prior to Examiner action	
113	1,840* 113 1,840*	Requesting publication of SIR after Examiner action	
115	110 215 55	Extension for reply within first month	
116	380 216 190	Extension for reply within second month	
117	870 217 435	Extension for reply within third month	
118	1,360 218 680	Extension for reply within fourth month	
128	1,850 228 925	Extension for reply within fifth month	
119	300 219 150	Notice of Appeal	
120	300 220 150	Filing a brief in support of an appeal	
121	260 221 130	Request for oral hearing	
138	1,510 138 1,510	Petition to institute a public use proceeding	
140	110 240 55	Petition to revive - unavoidable	
141	1,210 241 605	Petition to revive - unintentional	
142	1,210 242 605	Utility issue fee (or reissue)	
143	430 243 215	Design issue fee	
144	580 244 290	Plant issue fee	
122	130 122 130	Petitions to the Commissioner	
123	50 123 50	Petitions related to provisional applications	
126	240 126 240	Submission of Information Disclosure Stmt	
581	40 581 40	Recording each patent assignment per property (times number of properties)	
146	760 246 380	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760 249 380	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			

Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) _____

SUBMITTED BY: MARKISON & RECKAMP, P.C.				Complete (if applicable)	
Typed or Printed Name		Christopher J. Reckamp		Reg. Number	34,414
Signature		Date	12/22/00	Deposit Account User ID	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Ron J. Vandergeest
	Title	Method and Apparatus for Providing User Authentication
	Atty Docket Number	0500.0008171

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/22/00

Date


Signature

Christopher J. Reckamp

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

U.S. PATENT AND TRADEMARK OFFICE